



THW 21458  
PATENT  
Attorney Docket No.: NUASI-00200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                                  |   |                                  |
|----------------------------------|---|----------------------------------|
| In re Application of:            | ) | Group Art Unit: 2145             |
| Justin Broughton et al.          | ) | Examiner: Cardone, Jason D.      |
| Serial No.: 09/774,944           | ) | <b><u>TRANSMITTAL LETTER</u></b> |
| Filed: January 30, 2001          | ) | 162 N. Wolfe Road                |
| For: <b>DIGITAL MULTIMEDIA</b>   | ) | Sunnyvale, CA 94086              |
| <b>CONTACT CENTER WITH TIER</b>  | ) | (408) 530-9700                   |
| <b>ESCALATION AND DE-</b>        | ) | Customer No. 28960               |
| <b>ESCALATION IN RESPONSE TO</b> | ) |                                  |
| <b>CHANGED CRITERIA</b>          | ) |                                  |

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a check in the amount of \$180.00 to cover the Information Disclosure fee and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 1-27-06

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.  
Date: 1-27-06 By: [Signature]



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Attorney Docket No.: NUASI-00200

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| In re Application of:  | ) | Group Art Unit: 2145                                       |
| Justin Broughton et al.  | ) | Examiner: Cardone, Jason D.                                |
| Serial No.: 09/774,944   | ) | <b><u>SUPPLEMENTAL INFORMATION</u></b>                     |
| Filed: January 30, 2001  | ) | <b><u>DISCLOSURE STATEMENT</u></b>                         |
| For: <b>DIGITAL MULTIMEDIA<br/>CONTACT WITH TIER<br/>ESCALATION AND DE-<br/>ESCALATION IN RESPONSE TO<br/>CHANGED CRITERIA</b> | ) | 162 N. Wolfe Road<br>Sunnyvale, CA 94086<br>(408) 530-9700 |
|  | ) | Customer No. 28960   |

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- U.S. Patent No. 5,530,744;
- U.S. Patent No. 6,298,383 B1;
- PCT WO 01/35601 A1;
- PCT WO 01/61529 A2;
- "Signaling Gateway CX6100-SG", Haraski et al., pages 138-142, NEC Res. & Develop., Vol 42, No. 2, April 2001; and
- "Media Gateway CX3200", Naoki Satoh et al., pages 133-137, NEC Res & Develop., Vol 42, No. 2, April 2001.

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Attorney Docket No.: PATENT  
NUASI-00200

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 1-27-06

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

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| FORM PTO-1449B<br>(Modified)  |  | U.S. Department of Commerce<br>Patent and Trademark Office |  | Attorney Docket No.: NUASI-00200    |  | Serial No.: 09/774,944 |  |
| <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br><small>(Use Separate Sheets If Necessary)</small> |  |  |  | Applicants: Justin Broughton et al. |  |                        |  |
| (37 CFR § 1.98(b))  |  |  |  | Filing Date: January 30, 2001       |  | Group Art Unit: 2145   |  |

  

| U.S. PATENT DOCUMENTS |    |                        |            |                      |       |          |             |
|-----------------------|----|------------------------|------------|----------------------|-------|----------|-------------|
| Examiner Initials     |    | Serial / Patent Number | Issue Date | Applicant / Patentee | Class | Subclass | Filing Date |
|                       | AA | 5,530,744              | 06/25/96   | Charalambous et al.  | 379   | 265      | 09/20/94    |
|                       | AB | 6,298,383 B1           | 10/02/01   | Gutman et al.        | 709   | 229      | 01/04/99    |
|                       | AC |                        |            |                      |       |          |             |
|                       | AD |                        |            |                      |       |          |             |
|                       | AE |                        |            |                      |       |          |             |
|                       | AF |                        |            |                      |       |          |             |
|                       | AG |                        |            |                      |       |          |             |
|                       | AH |                        |            |                      |       |          |             |
|                       | AI |                        |            |                      |       |          |             |
|                       | AJ |                        |            |                      |       |          |             |

  

| FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS |    |                 |                  |                         |       |          |             |    |
|--|----|-----------------|------------------|-------------------------|-------|----------|-------------|----|
|  |    | Document Number | Publication Date | Country / Patent Office | Class | Subclass | Translation |    |
|  |    |                 |                  |                         |       |          | Yes         | No |
|  | AK | WO 01/35601     | 05/17/01         | PCT                     | H04L  | 29/06    |             | X  |
|  | AL | WO 01/61529 A2  | 08/23/01         | PCT                     | G06F  | 17/00    |             | X  |
|  | AM |                 |                  |                         |       |          |             |    |
|  | AN |                 |                  |                         |       |          |             |    |
|  | AO |                 |                  |                         |       |          |             |    |

  

| OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) |    |  |
|---|----|--|
|   | AP | "Signaling Gateway CX6100-SG", Haraski et al., pages 138-142, NEC Res & Develop., Vol 42, No. 2., April 2001 |
|   | AQ | "Media Gateway CX3200", Naoki Satoh et al., pages 133-137, NEC Res & Develop., Vol 42, No. 2, April 2001     |
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| Examiner:  | Date Considered: |
| <b>EXAMINER:</b> Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                  |